

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 1032.00)

**Complete if Known**

Application Number	09/918,023
Filing Date	08/01/2001
First Named Inventor	Raja Singh Tuli
Examiner Name	Phan, Tho Gia
Art Unit	2821
Attorney Docket No.	

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit Account Number  
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 00.00)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	23	-20** =	3	X	9	=	27
Independent Claims	1	-3** =		X		=	
Multiple Dependent							
			145				145

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 172.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	475
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	385
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 860.00)

**SUBMITTED BY**

(Complete (if applicable))

Name (Print/Type)	Raja Singh Tuli	Registration No. (Attorney/Agent)		Telephone	514 871 0984
Signature		Date	November 05, 2003		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# Auto-Reply Facsimile Transmission

TO: Fax Sender at 514 871 3864

Fax Information  
Date Received: 11/6/03 2:15:15 PM [Eastern Standard Time]  
Total Pages: 9 (including cover page)

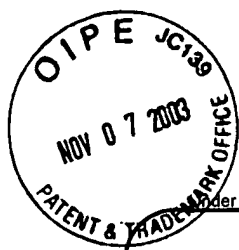
**ADVISORY:** This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

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11/06/2003 14:07 514-871-3864		PAGE 01												
PTO-600 (Rev. 02) Approved for use through 07/01/2003. Call 800-453-5400 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE														
Under the Patent Protection Act of 1980, an inventor or applicant is entitled to a certificate of facsimile transmission if a PTO staff member receives a facsimile transmission of a document.														
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Application Number</td> <td>09/918,523</td> </tr> <tr> <td>Filing Date</td> <td>08/10/2001</td> </tr> <tr> <td>First Named Inventor</td> <td>RAJA SINGH TULI</td> </tr> <tr> <td>Art Unit</td> <td>2821</td> </tr> <tr> <td>Examiner Name</td> <td>PHAN, THO GIA</td> </tr> <tr> <td>Adm. Control Number</td> <td></td> </tr> </table>		Application Number	09/918,523	Filing Date	08/10/2001	First Named Inventor	RAJA SINGH TULI	Art Unit	2821	Examiner Name	PHAN, THO GIA	Adm. Control Number	
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Adm. Control Number														
Total Number of Pages in This Transmission: 9														
ENCLOSURES (Check all that apply)														
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavit/Declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Amendment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Responses to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Nondisclosure Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refiled <input type="checkbox"/> CO, Number of COs	<input type="checkbox"/> After Advise communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interference <input type="checkbox"/> Appeal Communication to Group (Appeal Status, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosures (please identify below)												
Remarks: Total Pages: Forms + Reply = 9 4 5 Check separate No enclosed RCE form PTO/SB30														
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT														
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Print or Individual name</td> <td colspan="2">RAJA SINGH TULI</td> </tr> <tr> <td>Signature</td> <td colspan="2"><i>Raja Singh Tuli</i></td> </tr> <tr> <td>Date</td> <td colspan="2">Nov 5, 2003</td> </tr> </table>			Print or Individual name	RAJA SINGH TULI		Signature	<i>Raja Singh Tuli</i>		Date	Nov 5, 2003				
Print or Individual name	RAJA SINGH TULI													
Signature	<i>Raja Singh Tuli</i>													
Date	Nov 5, 2003													
CERTIFICATE OF TRANSMISSION/MAILING														
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.														
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">Typed or printed name</td> <td colspan="2">RAJA SINGH TULI</td> </tr> <tr> <td>Signature</td> <td colspan="2"><i>Raja Singh Tuli</i></td> </tr> <tr> <td>Date</td> <td colspan="2">Nov 06, 2003</td> </tr> </table>			Typed or printed name	RAJA SINGH TULI		Signature	<i>Raja Singh Tuli</i>		Date	Nov 06, 2003				
Typed or printed name	RAJA SINGH TULI													
Signature	<i>Raja Singh Tuli</i>													
Date	Nov 06, 2003													
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RCE/2821

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# Request For Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/918,523
Filing Date	08/01/2001
First Named Inventor	RAJA SINGH TULI
Art Unit	2821
Examiner Name	PHAN, THO GIA
Attorney Docket Number	

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☐ Deposit Account No. \_\_\_\_\_

i. ☐ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☒ Check in the amount of \$ 1032.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	RAJA SINGH TULI	Registration No. (Attorney/Agent)	
Signature	<i>Raja Singh Tuli</i>	Date	Nov 5, 2003

CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.			
Name (Print/Type)	RAJA SINGH TULI	Date	Nov 6, 2003
Signature	<i>Raja Singh Tuli</i>	Date	Nov 6, 2003

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

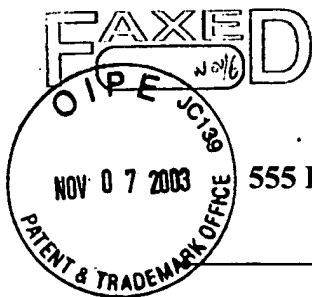
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01 FC:2801 385.00 OP

11/10/2003 JBALINAN 00000140 09918523

385.00 OP



## Raja Singh Tuli

555 Rene-Levesque West, Suite 1130, Montreal, Quebec H2Z 1B1, Canada

Tel: 514.871.0984 Fax: 514.871.3864

Applicant: Raja Singh Tuli

Examiner: Phan, Tho Gia

Application Number: 09/918,523

Filing Date: 08/01/2001

Date of Response: November 05/2003

Art Unit: 2821

United States Patent and Trademark Office  
Commissioner of Patents  
P.O. Box 1450,  
Alexandria, VA 22313-1450  
USA

Dear Examiner Phan,

Applicant hereby petitions for an extension of three months under 37 CFR 1.136(a). Applicant further seeks a Request for Continued Examination (RCE). A check in the amount of US \$1032.00 (applicant is small entity) is enclosed, to cover the fee for the extension, RCE and additional claims. Further, in response to your Office Action dated May 08, 2003, applicant presents the following amendment and remarks thereafter.

11/10/2003 JBALINAN 00000140 09918523

03 FC:2203  
04 FC:2202

145.00 OP  
27.00 OP

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